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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/691,428	10/21/2003	Gerard Anthony Brady	GUA-001

021323  
 TESTA, HURWITZ & THIBEAULT, LLP  
 HIGH STREET TOWER  
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 BOSTON, MA 02110

CONFIRMATION NO. 9179

## FORMALITIES LETTER



\*OC000000011749656\*

Date Mailed: 01/23/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/20/2004 HDEMSS1 00000059 110600 10691428 FILED UNDER 37 CFR 1.53(b)

01 FC:1051 130.00 DA

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

AG

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Gerard Anthony BRADY et al.  
APPLICATION NO. : 10/691,428  
FILED : October 21, 2003  
FOR : METHODS AND SYSTEMS FOR ANALYZING  
SECURITY EVENTS  
CONFIRMATION NO. : 9179  
TC/ART UNIT : Unassigned  
EXAMINER : Unassigned  
DOCKET NO. : 12832/100188  
CUSTOMER NO. : 23838

**Mail Stop Missing Parts**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS**  
**OF NONPROVISIONAL APPLICATION**

SIR:

In response to the Notice to File Missing Parts of Non-Provisional Application mailed January 23, 2004, submitted herewith are the following:

- (1) Copy of Notice to File Missing Parts of Nonprovisional Application;
- (2) Executed Declaration and Power of Attorney (3 pages);
- (3) Petition for Extension of Time (in duplicate).

Application Serial No. 10/691,428

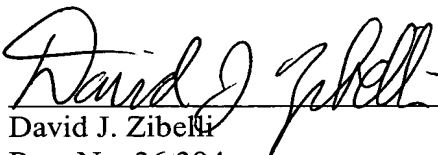
Response to Notice to File Missing Parts of Nonprovisional Application dated August 19, 2004

The Commissioner is authorized to charge the late oath and declaration surcharge in the amount of \$130.00 and the five-month extension of time fee in the amount of \$2,010.00 to Deposit Account 11-0600. The Commissioner is further authorized to charge any additional fees under 37 C.F.R. §1.16, §1.17 or § 1.136 or credit any overpayment to Deposit Account No. 11-0600. A duplicate copy of this sheet is attached.

Respectfully submitted,

KENYON & KENYON

Date: 8/19/04

  
David J. Zibelli

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